



Kkalpana Industries (India) Limited

Date: 30.06.2020.

To,
The Manager,
Listing Department,
Bombay Stock Exchange Limited (Designated Stock Exchange),
P.J. Towers, Dalal Street,
Mumbai – 400 001

Sub: Submission of Annual Secretarial Compliance Certificate for the financial year ended 31st March, 2020

Ref: Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

With reference to the subject matter, please find attached herewith the Annual Secretarial Compliance Certificate issued by Mr. Ashok Kumar Daga (FSC: 2699, COP: 2948), Practicing Company Secretary, for the Financial Year ended 31st March, 2020, pursuant to Regulation 24A of SEBI(Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1//27/2019 dated February 8, 2019.

Kindly take the aforesaid information on record and oblige.

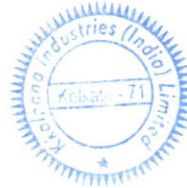
Thanking You,

Yours faithfully,

For Kkalpana Industries (India) Limited

Tanvi Panday

**TanviPanday (ACS 31176)
Company Secretary**



CC:

1. The Calcutta Stock Exchange Limited, 7 Lyons Range, Kolkata – 700 001.

Regd. Office : 2B, Pretoria Street, Kolkata - 700 071

Tel. : +91-33-2282 3744/45/3671/99, Fax : +91-33-2282 3739, E-mail : kolkata@kkalpana.co.in

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www.kkalpanagroup.com

CIN : L19202WB1985PLC039431



Ashok Kumar Daga

B. Com. (H), LLB., FCS
Practising Company Secretary

AVANI OXFORD, PHASE II
136, JESSORE ROAD, BLOCK - 1
FLAT # 1B, 1ST FLOOR
KOLKATA - 700055
Phone : +91 33 32916865
Mobile : 09831036425, 09830236425
E-mail : daga.ashok@gmail.com

Secretarial Compliance Report

Kkalpana Industries (India) Limited for the year ended 31st March, 2020
[Pursuant to Circular No. CIR/CFD/CMDI/27/2019 dated 08/02/2019 issued by Securities and Exchange Board of India]

To,

The Members of
Kkalpana Industries (India) Limited
2B, Pretoria Street,
Kolkata - 700 071

I have examined:

- a. all the documents and records made available to me and explanation provided by **M/s Kkalpana Industries (India) Limited** ("the listed entity"),
- b. the filings/ submissions made by the listed entity to the stock exchanges,
- c. website of the listed entity,
- d. any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2020 in respect of compliance with the provisions of :

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");



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The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d. Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- e. Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- f. Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- g. Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013;
- h. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

Circulars/ guidelines issued by SEBI in respect of aforesaid regulations and based on the above examination, I hereby report that, during the Review period.

I further certify that the company has complied with the conditions after suitably modifying the terms of appointment letter given to the statutory auditors mentioning the effect of conditions envisaged in Clause 6(A) and 6(B) of Circular No. CIR/CFD/CMD1/114/2019 dated 18th, October, 2019 issued by Securities and Exchange Board of India.



Ashok Kumar Daga

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Practising Company Secretary

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- a. The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practising Company Secretary
1.	As per Regulations of LODR, the Company has complied with the provisions of all applicable regulations.	NIL	NIL

- b. The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.

- c. The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder: **NA**

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practising Company Secretary, if any.
-	-	-	-	-

- d. The listed entity has taken the following actions to comply with the observations made in previous reports: **NA**



Ashok Kumar Daga

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Practising Company Secretary

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Sr. No.	Observations of the Practising Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 st March, 2019	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity
-	-	-	-	-

Place: KOLKATA

Date: 26.06.2020

**ASHOK
KUMAR
DAGA** Digitally signed
by ASHOK
KUMAR DAGA
Date: 2020.06.26
17:40:34 +05'30'

ASHOK KUMAR DAGA

(PRACTISING COMPANY SECRETARY)

UDIN NO. F002699B000386885

FCS No.2699, C P No: 2948